

PATENT

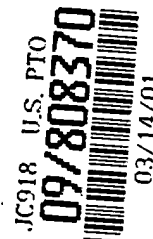
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Fiorella et al.

Application No.:

Filed: Herewith



For: **METHODS AND APPARATUS FOR UPGRADING FIRMWARE IN AN EMBEDDED SYSTEM**

**BOX PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

**EXPRESS MAIL CERTIFICATE**

"Express Mail" mailing label number: **EL 628667881 US**

Date of Deposit: **March 14, 2001**

I hereby certify that the attached:

- ☒ Check in the amount of \$750 (Filing fee (\$710) and recordal fee (\$40));
- ☒ Return receipt postage prepaid postcard;
- ☒ Transmittal letter for new patent application;
- ☒ Patent Application Specification, including Abstract and Claims (14 pages);
- ☒ Three (3) sheets of formal drawings, together with transmittal letter;
- ☒ Declaration and Power of Attorney form;
- ☒ Assignment, together with Form PTO-1595;
- ☒ Patent Application Data Entry Form.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: **BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231**

Michele Hollis

(Typed or printed name of person mailing paper or fee)

Michele Hollis

(Signature of person mailing paper or fee)

Respectfully submitted,

Date: **March 14, 2001**

ATTORNEY DOCKET NO.: **GIC-632**

Barry R. Lipsitz

Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street, Building 8  
Monroe, CT 06468  
(203) 459-0200

03/14/01  
11057 U.S. PTO

03-15-01

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PATENTS, TRADEMARKS, COPYRIGHTS

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**BOX PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**FILED UNDER 37 C.F.R. §1.53(b)**

JC918 U.S. PTO  
09/808370  
03/14/01

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): **Daniel Charles Fiorella, III, Ronald L. Gebhardt, Jr. and Marlin F. McGregor, Jr.**

Title: **METHODS AND APPARATUS FOR UPGRADING FIRMWARE IN AN EMBEDDED SYSTEM**

**APPLICATION ELEMENTS:**

- (1) ☒ Patent Application Specification, including Abstract and claims - 14 pages
- (2) ☒ Three (3) sheets of formal drawings, together with transmittal letter
- (3) ☒ A check in the amount of \$750 to cover the ☒ filing fee (\$710.00 ) and/or ☒ Assignment Recordal Fee (\$40) is enclosed.
  
- ☐ Before calculating the fee, cancel claim(s)
- ☐ Before calculating the fee, see copy of Preliminary Amendment filed in parent application \_\_\_\_\_ (attached hereto.)

Basic Fee							\$	710.00
Multiple Dependent Claims (\$260.)								
Foreign Language Surcharge (\$130.)								
	For	No. Filed	-	No. Extra		Rate		
EXTRA	Total Claims	14	20	0	x	\$18.	=	\$ 0.00
CLAIMS	Independent Claims	2	3	0	x	\$80.	=	\$ 0.00
<b>TOTAL FILING FEE</b>							=	\$ 710.00

☒ The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-0625.

**Box Patent Application**  
**Commissioner for Patents**  
**Page 2 of 3**

- (4) ☒ Declaration and Power of Attorney form -- 2 pages  
a. ☒ Newly executed  
b. ☐ Copy from a prior application  
c. ☐ Deletion of inventor(s) -- signed statement attached deleting inventor(s) named in the prior application.
- (5) ☐ Small Entity Declaration  
a. ☐ Newly executed  
b. ☐ Copy from a prior application. Status still proper and desired.

**ACCOMPANYING APPLICATION PARTS:**

- (6) ☒ Assignment document  
a. ☒ Newly executed (with \$40.00 recordal fee) and separate transmittal Form PTO-1595  
b. ☐ Copy from a prior application  
c. ☒ Please include assignee information when this application is published  
**Assignee: General Instrument Corporation**  
**101 Tournament Drive**  
**Horsham, PA 19044**
- (7) ☐ Preliminary Amendment
- (8) ☐ Certified Copy of Priority Document, together with separate transmittal letter
- (9) ☐ Information Disclosure Statement, together with PTO Form 1449 and copies of cited references
- (10) ☒ Return receipt postage prepaid postcard
- (11) ☒ Express Mail Certificate (Mailing Label No. EL 628667881 US)
- (12) ☒ Patent Application Data Entry Form
- (13) ☐ Other:  
a. ☐

**Box Patent Application**  
**Commissioner for Patents**  
**Page 3 of 3**

- (14) [ ] If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below:

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)  
of prior application no.:

Prior application information:

Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

Status: \_\_\_\_\_

FOR CONTINUATION or DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under paragraph 4(b) above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

- (15) [ ] Please amend the specification by inserting before the first line the sentence:

- (16) [ ] This application claims the benefit of \_\_\_\_\_ Patent Application  
No. \_\_\_\_\_ filed on \_\_\_\_\_

- (17) [X] Correspondence address:  
**Barry R. Lipsitz**  
**Law Offices of Barry R. Lipsitz**  
**755 Main Street, Building 8**  
**Monroe, CT 06468**  
**Telephone: (203) 459-0200**

Respectfully submitted,



Barry R. Lipsitz  
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**ATTORNEY DOCKET NO.: GIC-632**  
**Date: March 14, 2001**

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

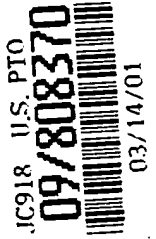
In re Application of:

Fiorella et al.

Application No.:

Filed: Herewith

For: **METHODS AND APPARATUS FOR UPGRADING FIRMWARE IN AN EMBEDDED  
SYSTEM**



**DRAWING REVIEW BRANCH**

Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (No. EL 628667881 US) addressed to BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231 on March 14, 2001.

By: Michele Hollis  
Michele Hollis

**TRANSMITTAL OF FORMAL DRAWINGS**

Dear Sir:

Enclosed are three (3) sheets of formal drawings for filing in the above-referenced patent application.

Please advise the undersigned attorney if correction is necessary.

Respectfully submitted,

Date: March 14, 2001

**ATTORNEY DOCKET NO.: GIC-632**

Barry R. Lipsitz  
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Registration No. 28,637  
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